

Case: Credit Suisse First Boston LLC v. Repurchase Corp., Leon A Greenblatt, III, Andrew A. Jahelka and Richard O. Nichols

Docket Number: 2004-014931

Attorneys:

For Claimant(s):

Robert Herskovits - New York, NY

For Respondent(s):

C. Philip Curley - Chicago, IL

Date Filed: 12/23/2003

First Scheduled: 04/21/2004

Decided:

11/16/05

Case Summary: Member firm claimant alleges a failure to pay debit balance, account stated and breach of contract following margin liquidation of equity securities.

Product: Equity

Market: NASD

Claim Data:

Award Data:

Claim:

\$700,000.00

\$1,000.00

Award: \$755,194.59

Punitive: UNSPECIFIED

Punitive:

\$0.00

Atty Fees: UNSPECIFIED

Atty Fees: \$61,094.56

Deposit:

Costs: \$0.00

Forum Fees: \$2,000.00

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Decision: The undersigned arbitrator(s) have decided and determined that in full and final settlement of all claims between the parties that:

Pursuant to the parties' stipulation, Respondent Repurchase Corporation shall pay to Claimant Credit Suisse First Boston LLC \$755,194.59 plus attorney's fees and reimbursable expenses of \$61,094.56 pursuant to the terms of the customer agreement. NYSE forum fees of \$2,000.00 are assessed against the Claimant Credit Suisse First Boston LLC.

Remarks: The parties stipulated to the award. The Statement of Claim was withdrawn as to Messrs. Greenblatt, Jahelka and Nichols.

The undersigned arbitrators hereby affirm that they have executed this instrument which is their award:

Signatures:

Arbitrators: (D = Dissents)

Edward Baer

Karimu F. Hill-Harvey Randolph Guggenheimer

Date:

11/16/05

City, State: New York, NY

Sessions: 0 Hearing Dates: